

**BARNES & THORNBURG**  
btlaw.com

*RECEIVED*

13 NOV 2006 11 South Meridian Street  
Indianapolis, Indiana 46204-3535  
(317) 236-1313  
Fax Number: (317) 231-7433

*Legal Staff  
International Division*

**FAX COVER SHEET**

Nov 28 56

<b>TO:</b>	<b>NAME</b>	<b>COMPANY NAME</b>	<b>TELECOPY NO.</b>
	PCT LEGAL	United States Patent and Trademark Office	(571) 273-0459

<b>FROM:</b>	Richard D. CONARD, Attorney for Applicants
<b>DIRECT DIAL:</b>	(317) 231-7285
<b>DATE:</b>	13 November 2006
<b>E-MAIL:</b>	Richard Coanrd@btlaw.com
<b>TIME SENDING:</b>	9:00

**NUMBER OF PAGES (INCLUDING THIS COVER SHEET):** 3

If you have difficulty receiving this Fax, please call Barnes & Thornburg at (Pitney Bowes 317-231-7410 and ask for Kathleen

Re: U.S. Application Serial No. 10/590673  
U.S. National Phase of PCT International Serial No.: PCT/AU2005/000255  
International Filing Date: 28 February 2005 (28.02.05)  
Applicant/Inventor: BLAKE, Christopher I.; SIVARAM, Karthik  
Title: AN ACCESS CONTROL SYSTEM  
Priority Claim: 2004901016  
Priority Date: 27 February 2004 (27.02.04)  
Our File: 39084-200742

Attached is ASSERTION OF ENTITLEMENT TO SMALL ENTITY STATUS AND REQUEST FOR REFUND in duplicate. The original is being forwarded via Express Mail No. EV 633513273 US.

CLIENT# 39084  
MATTER# 200742

Original to follow by mail  
 Original will not follow by mail

**CONFIDENTIALITY NOTICE:** This message is for the exclusive use of the individual or entity to which it is addressed and is confidential. If you are not the addressee or an employee or agent of the addressee responsible for delivering it to the addressee, please do not read, use, disclose, copy or distribute this message and do not take any action in reliance upon it. If you have received this message in error, please notify us immediately by telephone (collect) to arrange for its return. We do not intend to waive any attorney-client or work product privilege by the transmission of this message.

Chicago Elkhart Fort Wayne Grand Rapids Indianapolis South Bend Washington, D.C.  
Route to: John Eskridge

## BARNES &amp; THORNBURG LLP

11 South Meridian Street  
 Indianapolis, Indiana 46204  
 (317) 236-1313  
 (317) 231-7433 Fax

## IN THE UNITED STATES PATENT OFFICE

*Priority Date:* 27 February 2004 (27.02.04)

*Customer No.:* 23643

*Application Serial No.:* 10/590,673

*Invention:* AN ACCESS CONTROL SYSTEM

*Applicant:* BLAKE, Christopher I.; SIVARAM, Karthik

*International Filing Date:* 28 February 2005 (28.02.05)

*Attorney Docket:* 39084-200742

*PCT Application Serial No.:* PCT/AU2005/000255

Certificate Under 37 CFR 1.10

} Express Mail Label No. EV 633513273 US

} Date of Deposit: 13 November 2006

} I hereby certify that this correspondence is being deposited with the United States Postal Service's "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Mary Jean Eskridge

(Signature)

Mary Jean Eskridge

(Typed or Printed Name of Person Mailing Paper or Fee))

Certificate of Facsimile Transmission

} I hereby certify that this correspondence is being facsimile transmitted to the Receiving Office of the United States of America at Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, facsimile no. (571) 273-0459

on 13 November 2006

Mary Jean Eskridge

Mary Jean Eskridge  
 (Typed or Printed Name of Person Transmitting Paper or Fee)

**ASSERTION OF ENTITLEMENT TO SMALL ENTITY STATUS AND REQUEST FOR REFUND**

Mail Stop PCT  
 Attn: PCT Legal  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

Sir:

The captioned application was lodged on 25 August 2006 (25.08.06) with payment of fees as a large entity. Applicants of the captioned application are entitled to small entity status. The application was filed with Check No. 369128 in the amount of

11/28/2006 SBASHEIR 00000005 10590673

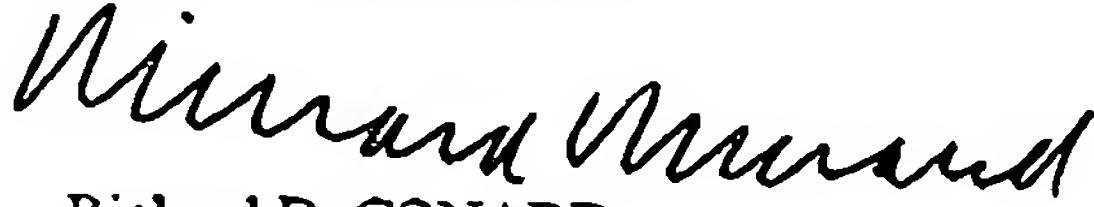
01 FC:2631	150.00	OP
02 FC:2633	100.00	OP
03 FC:2642	200.00	OP
04 FC:2617	65.00	OP

\$3,130.00 for the total national fee and authorization for the Director to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 10-0435.

Attorney for Applicants hereby respectfully requests a refund of \$1,590.00 pursuant to 37 C.F.R. § 1.27(c), and § 1.28(a), the portion of the originally transmitted national fee in excess of that required for a small entity. Thus, an assertion of entitlement to small entity status and a request for refund are being made within three months of the payment of the above-identified fee under 37 C.F.R. § 1.28(a).

In view of the foregoing, Attorney for applicants requests that a check in the amount of \$1,590.00 be sent to applicants' attorneys at the address above, or credit that amount to Deposit Account No. 10-0435 using Attorney Docket No. 39084-200742. A duplicate copy of this sheet is enclosed.

Respectfully submitted,



Richard D. CONARD  
Registration No. 27321  
Attorney for Applicant

RDC:mje/848696v1

Indianapolis, IN 46204  
317-231-7285

Recep, Ref: 11/20/2006 SBASHEIR 0010425000  
DAA:103435 Name/Number:10590673  
FC: 9204 \$515.00 CR

\*\*\*\*\*  
\*\*\* RX REPORT \*\*\*  
\*\*\*\*\*

RECEPTION OK

TX/RX NO	6915
RECIPIENT ADDRESS	3172317433
DESTINATION ID	
ST. TIME	10/17 08:58
TIME USE	00 '30
PGS.	3
RESULT	OK